## The Hamiltonian and System

## Weak J-Coupling Hamiltonian

The J-coupling interaction is one which does not get averaged by the molecules' motion. It is of the form

$$
H_{J}=2 \pi J \hat{I}_{1 z} \hat{I}_{2 z}=\frac{\pi J}{2}\left(\begin{array}{cccc}
1 & 0 & 0 & 0 \\
0 & -1 & 0 & 0 \\
0 & 0 & -1 & 0 \\
0 & 0 & 0 & 1
\end{array}\right)
$$

This means that the full Hamiltonian of the system is

$$
\begin{aligned}
H & =-\omega_{0}^{(1)} \hat{I}_{1 z}-\omega_{0}^{(2)} \hat{I}_{2 z}+2 \pi J \hat{I}_{1 z} \hat{I}_{2 z} \\
& =\left(\begin{array}{cccc}
-\omega_{\mathrm{avg}}+\frac{\pi J}{2} & 0 & 0 & 0 \\
0 & \frac{\Delta \omega-\pi J}{2} & 0 & 0 \\
0 & 0 & \frac{-\Delta \omega-\pi J}{2} & 0 \\
0 & 0 & 0 & \omega_{\mathrm{avg}}+\frac{\pi J}{2}
\end{array}\right)
\end{aligned}
$$

where $\omega_{\text {avg }}=\frac{\omega_{0}^{(1)}+\omega_{0}^{(2)}}{2}$ and $\Delta \omega=\omega_{0}^{(2)}-\omega_{0}^{(1)}$. Now, we are very lucky that the hamiltonian is still diagonal. This means we still get to keep our old eigenfunctions of the hamiltonian without the J coupling, which now have energies:

$$
\begin{aligned}
& H|++\rangle=\left(-\omega_{\text {avg }}+\frac{\pi J}{2}\right)|++\rangle \\
& H|+-\rangle=\left(\Delta \omega-\frac{\pi J}{2}\right)|+-\rangle \\
& H|-+\rangle=\left(-\Delta \omega-\frac{\pi J}{2}\right)|-+\rangle \\
& H|--\rangle=\left(-\omega_{\text {avg }}+\frac{\pi J}{2}\right)|--\rangle
\end{aligned}
$$

as you should verify explicitly (just do the matrix multiplication).

## The Propagator

The fact that H is diagonal makes it easy for us to calculate the propagator in matrix form:
$U(t)=e^{-i H / / h}=\left(\begin{array}{cccc}e^{i\left(\omega_{\text {avg }}-\frac{\pi \pi}{2}\right) t} & 0 & 0 & 0 \\ 0 & e^{i\left(-\frac{\Delta \omega}{2}+\frac{\pi}{2}\right) t} & 0 & 0 \\ 0 & 0 & e^{i\left(\frac{\left(1 \omega_{2}\right.}{2}+\pi J\right) t} & 0 \\ 0 & 0 & 0 & e^{-i\left(\omega_{\text {asg }}+\frac{\pi}{2}\right) t}\end{array}\right)$

The hamiltonian can be written as $H=H_{1}+H_{2}+H_{J}$, where $H_{1}=-\omega_{0}^{(1)} \hat{I}_{1 z}$, $H_{2}=-\omega_{0}^{(2)} \hat{I}_{2 z}$ and $H_{J}=2 \pi J \hat{I}_{1 z} \hat{I}_{2 z}$. A very important property of these three hamiltonians is that they all commute:

$$
\left[H_{1}, H_{2}\right]=\left[H_{1}, H_{J}\right]=\left[H_{2}, H_{J}\right]=0 .
$$

This means we can decompose the propagator into each of its parts:

$$
\begin{aligned}
U(t) & =e^{-i\left(H_{1}+H_{2}+H_{J}\right) t / \hbar} \\
& =e^{-i H_{t} t / \hbar} e^{-i H_{2} t / \hbar} e^{-i H_{J} t / \hbar} \\
& =U_{1}(t) U_{2}(t) U_{J}(t)
\end{aligned}
$$

Not only that: we can change the order of the terms (e.g. $U_{1} U_{2} U_{J}=U_{1} U_{J} U_{2}$ ). This means that when we propagate the density matrix, we can choose the order in which we calculate the effects of the different terms. For example, we could calculate the effect of the J-coupling first, then the Zeeman term for the second spin, then for the first:

$$
U \rho U^{\dagger}=U_{1} \underbrace{U_{2} \overbrace{U_{J} \rho U_{J}^{\dagger}}^{\text {J-coupling }} U_{2}^{\dagger}}_{\text {Zeeman for 2nd spin }} U_{1}^{\dagger}
$$

or we could first deal with the effects of the Zeeman interaction and chemical shifts, and only then calculate the effect of J-coupling:

$$
U \rho U^{\dagger}=\overbrace{U_{J} \underbrace{U_{2} U_{1} \rho U_{1}^{\dagger} U_{2}^{\dagger}}_{\text {Zeeman }} U_{J}^{\dagger}}^{\text {J-coupling }}
$$

and so forth. To sum up:

For weak J-coupling we can apply each interaction in turn, and we can choose the order in which the interactions will play out (the result will be the same).

## The Zeeman Evolution

We've already seen how the Zeeman interaction evolves. For example, for a weakly coupled spin-1/2 pair, under the action of $U_{1}$,

$$
\hat{I}_{1 x} \xrightarrow{U_{1}} \hat{I}_{1 x} \cos \left(\omega_{1} t\right)-\hat{I}_{1 y} \sin \left(\omega_{1} t\right) .
$$

## The (Weak) J-Coupling Evolution

We now come to the "meat" of the chapter: how does the weak J-coupling term affect the spins' evolution? To do that, we need to calculate its effects on the different density operator terms such as $I_{i j}$ ( $\mathrm{i}=1,2, \mathrm{j}=\mathrm{x}, \mathrm{y}, \mathrm{z}$ ), and pairs such as $I_{i j} I_{m n}$ ( $\mathrm{i}, \mathrm{m}=1,2, \mathrm{j}, \mathrm{n}=\mathrm{x}, \mathrm{y}, \mathrm{z}$ ). This is a pain to do and I won't derive all of them, but I'll show you how to go about it and then just list the results.

Let's look at how $I_{1 x}$ evolves in time:

$$
U_{J}(t) I_{1 x} U_{J}^{\dagger}(t) \rightarrow ?
$$

One way we could go about doing this is simply writing out everything explicitly:

$$
\begin{aligned}
& U_{J}(t)=e^{-2 \pi i i_{1} I_{2} t} t=\left(\begin{array}{cccc}
e^{-\frac{i \pi J t}{2}} & 0 & 0 & 0 \\
0 & e^{\frac{i \pi J t}{2}} & 0 & 0 \\
0 & 0 & e^{\frac{i \pi J t}{2}} & 0 \\
0 & 0 & 0 & e^{-\frac{i \pi J t}{2}}
\end{array}\right) \\
& I_{1 x}=I_{x} \otimes I=\frac{1}{2}\left(\begin{array}{llll}
0 & 0 & 1 & 0 \\
0 & 0 & 0 & 1 \\
1 & 0 & 0 & 0 \\
0 & 1 & 0 & 0
\end{array}\right)
\end{aligned}
$$

and carry out the matrix multiplication by brute force, and finally take the trace of the resulting matrix with all possible combinations operators $\left(I_{i j} I_{m n}, I_{i j}\right)$ and decompose it into a sum. A slightly easier approach uses the fact that

$$
U_{J}(t)=e^{-2 \pi i I_{12} I_{2 z} / \hbar}=\cos \left(\frac{\pi t t}{2}\right) I-i 4 I_{1 z} I_{2 z} \sin \left(\frac{\pi t t}{2}\right)
$$

This can be easily deduced from the matrix form above. Now we can compute, for any state $\rho$ :

$$
\begin{aligned}
& U_{J} \rho U_{J}^{\dagger} \\
& =\left[\cos \left(\frac{\pi J t}{2}\right) I-4 i I_{12} I_{2 z} \sin \left(\frac{\pi t t}{2}\right)\right] \\
& \quad \cdot \rho \cdot\left[\cos \left(\frac{\pi t}{2}\right) I+4 i I_{1 z} I_{2 z} \sin \left(\frac{\pi t t}{2}\right)\right] \\
& =\cos ^{2}\left(\frac{\pi t t}{2}\right) \rho+4 i \cos \left(\frac{\pi J t}{2}\right) \sin \left(\frac{\pi t t}{2}\right)\left[\rho, I_{1 z} I_{2 z}\right] \\
& \quad+16 \sin ^{2}\left(\frac{\pi J t}{2}\right) I_{1 z} I_{2 z} \rho I_{1 z} I_{2 z}
\end{aligned}
$$

For example, if $\rho=I_{1 x}$, then

$$
\left[I_{1 x}, I_{1 z} I_{2 z}\right]=\left[I_{1 x}, I_{1 z}\right] I_{2 z}=-i I_{1 y} I_{2 z}
$$

and

$$
I_{1 z} I_{2 z} I_{1 x} I_{1 z} I_{2 z}=\overbrace{I_{1 z}}^{-\frac{1}{I_{1 x} I_{1 x}} I_{1 z} I_{2 z}^{\frac{1}{t_{i z}}} I_{2 z}^{2}}=-\frac{1}{16} I_{1 x}
$$

SO

$$
\begin{aligned}
& U_{J} I_{1 x} U_{J}^{\dagger} \\
& \quad=\left[\cos ^{2}\left(\frac{\pi J t}{2}\right)-\sin ^{2}\left(\frac{\pi J t}{2}\right)\right] I_{1 x}+4 \cos \left(\frac{\pi J t}{2}\right) \sin \left(\frac{\pi J t}{2}\right) I_{1 y} I_{2 z} \\
& \quad=\cos (\pi J t) I_{1 x}+\sin (\pi J t) 2 I_{1 y} I_{2 z}
\end{aligned}
$$

We can repeat this for all possible combinations. I will not do the explicit math here and merely quote the results. The following operators are unaffected by the J-coupling Hamiltonian:

$$
\begin{aligned}
& I \\
& \hat{I}_{1 z} \\
& \hat{I}_{2 z} \\
& \hat{I}_{2 z} \\
& \hat{I}_{1 z} \hat{I}_{2 z} \\
& \hat{I}_{1 x} \hat{I}_{2 x} \\
& \hat{I}_{1 x} \hat{I}_{2 y} \\
& \hat{I}_{1 y} \hat{I}_{2 x} \\
& \hat{I}_{1 y} \hat{I}_{2 y}
\end{aligned}
$$

The remaining ones perform a right-handed "rotation" as indicated by the following diagram:


Two similar circles exist for $\mathrm{I}_{2 \mathrm{x}}$ and $\mathrm{I}_{2 \mathrm{y}}$ (just swap $1 \leftrightarrow 2$ in the above diagrams). The "angular frequency" of these rotations is

$$
\omega_{J}=\pi J
$$

Example: Suppose $\rho=\hat{I}_{2 y}$. How does it evolve under the weak J-coupling Hamiltonian? Answer:
$\hat{I}_{2 y} \xrightarrow{\text { weak J-coupling }} \hat{I}_{2 y} \cos (\pi J t)-2 \hat{I}_{1 z} \hat{I}_{2 x} \sin (\pi J t)$

### 2.4 RF Pulses

As with the case of no J-coupling, "hard" RF pulses are assumed so strong and short that no chemical shift or J coupling occur while they are applied. Is this a good approximation? Hard pulses often take tens of milliseconds to apply on a spectrometer, while J coupling values are on the order of $10-100 \mathrm{~Hz}$, so very negligible evolution takes place during the pulse's application. The approximation is very good.

Some pulses out there are not "hard" and take a long amount of time to apply. During such pulses one cannot neglect the J-coupling or chemical shift evolution. Their analysis is complicated and usually ends up requiring numerical computations. We won't deal with such advanced pulses in this course.

## Pulse-Acquire Revisited

## Deriving J-Splittings

Let's look at two spins with weak J-coupling between them. Starting from thermal equilibrium, the state of the system is (neglecting the constant identity matrix term):

$$
\rho=\frac{\gamma_{1} B_{0}}{2 k T} I_{1 z}+\frac{\gamma_{2} B_{0}}{2 k T} I_{2 z} \equiv a_{1} I_{1 z}+a_{2} I_{2 z}
$$

This could be any pair of nuclei, e.g. C-H, so we're not assuming the same $\gamma$ 's. Furthermore, we've omitted the identity part since it does not evolve in time and therefore does not contribute to the measured signal.

A "hard" $\pi / 2$ pulse will tilt the product operators to the xy-plane

$$
\rho=a_{1} I_{1 x}+a_{2} I_{2 x}
$$

Let's now let the system evolve. We're free to choose whether to apply the Zeeman or J-coupling evolution first. I'll go with the Zeeman (chemical shift) Hamiltonian first:

$$
\begin{aligned}
\rho \rightarrow a_{1} & {\left[I_{1 x} \cos \left(\omega_{1} t\right)-I_{1 y} \sin \left(\omega_{1} t\right)\right] } \\
& +a_{2}\left[I_{2 x} \cos \left(\omega_{2} t\right)-I_{2 y} \sin \left(\omega_{2} t\right)\right]
\end{aligned}
$$

Next, let's apply the J-coupling hamiltonian:

$$
\begin{aligned}
\rho \rightarrow & a_{1} \cos \left(\omega_{1} t\right)\left[I_{1 x} \cos (\pi J t)+2 I_{1 y} I_{2 z} \sin (\pi J t)\right] \\
& -a_{1} \sin \left(\omega_{1} t\right)\left[I_{1 y} \cos (\pi J t)-2 I_{1 x} I_{2 z} \sin (\pi J t)\right] \\
& +a_{2} \cos \left(\omega_{2} t\right)\left[I_{2 x} \cos (\pi J t)+2 I_{1 z} I_{2 y} \sin (\pi J t)\right] \\
& -a_{2} \sin \left(\omega_{2} t\right)\left[I_{2 y} \cos (\pi J t)-2 I_{1 z} I_{2 y} \sin (\pi J t)\right]
\end{aligned}
$$

As you can see, things can get ugly real fast. But fear not! Let's assume these are heteronuclear spins and acquire a signal from spin 1 (in practice we can't acquire a particular spin's signal but must sum over all spins, but for the sake of this example let's suppose we can):

$$
s(t) \sim \operatorname{tr}\left(\left(\hat{M}_{1 x}+i \hat{M}_{1 y}\right) \rho\right)
$$

Only $\hat{I}_{1 x}$ and $\hat{I}_{1 y}$ will contribute to the signal so we can safely disregard all other terms and obtain:

$$
s(t) \sim a_{1} \cos (\pi J t) e^{i \omega_{1} t}
$$

We have neglected relaxation. We see that our FID is now modulated by the J-coupling evolution. Remembering that

$$
\cos (x)=\frac{1}{2}\left(e^{i x}+e^{-i x}\right)
$$

we rewrite $s(t)$ as

$$
s(t) \sim \frac{a_{1}}{2}\left[e^{i 2 \pi\left(v_{1}+\frac{J}{2}\right) t}+e^{i 2 \pi\left(v_{1}-\frac{J}{2}\right) t}\right] .
$$

where $\omega_{1} \equiv 2 \pi \nu_{1}$. We observe two effects:

1. The J-coupling amplitude modulation $\cos (\pi \mathrm{Jt})$ has led to two observable peaks, at $v_{1} \pm \frac{J}{2}$. This is the splitting we've seen in the spectrum of ethanol (and other molecules).
2. The amplitude of each peak has been halved compared to the original, unsplit peak. We've lost half of our SNR, although the total integrated area hasn't changed.
Thus, we can summarize the effect of J-coupling on the spectrum of a spin pair:

If a resonance A is coupled via weak J -coupling to reosnance B , do the following:

1. Halve the amplitude, and:
2. Replace the resonance at $v$ with two resonances at $v \pm \frac{J}{2}$

J-splittings can be a boon or a curse. They let us sometimes identify which peak corresponds to which part of the molecule. On the other hand, they reduce the SNR and complicate the spectrum, and it is therefore sometimes desirable to make them go away. This is called decoupling and we'll show how to do this in a bit.

## Multiple Couplings

The above calculation was carried out for a weakly coupled pair of spins. What happens in more realistic situations? For example, in lactatic acid,

the hydrogens in the $\mathrm{CH}_{3}$ and CH groups are Jcoupled. This means the H in CH is coupled to three hydrogens in $\mathrm{CH}_{3}$, and gets split three times. On the other hand, the $\mathrm{CH}_{3}$ resonance gets split only once by the H in CH .

Multiple J-splittings are dealt with (in the weak regime!) by applying the splitting rule multiple times. Thus:


Here's a simulated spectrum of lactate in water:


This spectrum illustrates the boon/curse duality. On the one hand, it is more complicated than the original spectrum which should've had only two peaks. On the other hand, we immediately know which peak corresponds to which group in the molecule: the single split peak is from the $\mathrm{CH}_{3}$ group, while the group of four peaks at a $\frac{1}{8}: \frac{3}{8}: \frac{3}{8}: \frac{1}{8}$ ratio is from the triply-split CH .

## Strong Coupling

The analysis of strong coupling is not an impossible feat for two spins, since all you need to do is diagonalize the hamiltonian, find the energy levels and calculate the density matrix's time evolution. We're not going to delve on that in this course, but we will mention what happens to the spectral pattern for strong J coupling. For two spins, as $\Delta \nu$ becomes smaller, you get a "roofing" effect, where the outermost peaks become smaller until they reduce to nothing:


## Magnetic \& Chemical Equivalence

Two nuclei are chemically equivalent if they have the same chemical shift, i.e. are in the same chemical environment. The nuclei are magnetically equivalent if they are chemically equivalent and have the same J-coupling to all other nuclei in the molecule.

An example of chemical equivalence without magnetic equivalence is given by 1,1difluoroethylene:

$\mathrm{H}_{\mathrm{a}}$ and $\mathrm{H}_{\mathrm{b}}$ are chemically equivalent, but the Jcoupling between $\mathrm{H}_{\mathrm{a}}$ and $\mathrm{F}_{\mathrm{b}}$ is different from that between $H_{b}$ and $F_{b}$ (trans vs cis). The fact that $H_{a}$ is coupled to $F_{a}$ the same way $H_{b}$ is coupled to $F_{b}$ is irrelevant. On the other hand, difluoromethane is both chemically and magnetically equivalent:


The NMR literature uses the following notation: capital latin letters are used to denote chemical shift, with letters "far away" in the alphabet denoting spins which have a chemical shift separation much larger than their J-coupling different, e.g. AX. If the letters are close, the Jcoupling is considered strong, e.g. AB or ABC . "Medium" coupling between three spins might be denoted by AMX. Another example: ABX is a system with 3 spins, where A and B are "close" compared to their J-coupling constants and X is "far away".

If two spins have the same chemical shift and different J-couplings to a third spin (i.e. are not magnetically equivalent), they will be denoted AA'. Magnetically equivalent spins will be denoted $\mathrm{A}_{2}$ (or $A_{3}$, or $A_{n}$, depending on how many spins there are). Not all systems can be expressed with the alphabet notation, but many can and it is a useful and widely used notation.

## More Complex Couplings

The coupling patterns of many other systems can be studied, but complexity is exponential in the number of spins. For example, the simple ABX proton system is not simple at all:
$300 \mathrm{MHz}{ }^{1} \mathrm{H}$ NMR spectrum in DMSO-d $\mathrm{d}_{6}$ Source: Aldrich Specral Viewer/Reich


NMR chemists who specialize in assigning peaks and studying small molecules become adept with
time in many of these patterns, but their study is quite specialized and we will not pursue it further in this course.

## Low Natural Abundance NMR-Visible Isotopes Minimize the Coupling Effect

J-couplings can exist between similar nuclei, possibly mediated via other nuclei (such as H-C-C-H), or can exist between different nuclei, such as C-H couplings. However, one rarely sees such heteronuclear coupling effects in most hydrogen spectra, because most other NMR active nuclei appear only at very low natural abundances in nature. For example, ${ }^{13} \mathrm{C}$ has a natural abundance of about $1 \%$. Most carbon nuclei are ${ }^{12} \mathrm{C}$, which has no nuclear spin and induces no splittings. For example, a typical hydrogen peak coupled to a neighboring carbon nucleus might look like this:


The large unsplit peak originates from the $99 \%$ of all hydrogen nuclei with ${ }^{12} \mathrm{C}$ neighbors. The tiny satellite peaks originate from the $1 \%$ of hydrogen nuclei with ${ }^{13} \mathrm{C}$ neighbors - those hydrogen nuclei got split.

Incidentally, the low natural abundance of ${ }^{13} \mathrm{C}$ can be circumvented by labeling molecules with ${ }^{13} \mathrm{C}$ - that is, replacing ${ }^{12} \mathrm{C}$ nuclei with ${ }^{13} \mathrm{C}$ nuclei. This is possible by using ${ }^{13} \mathrm{C}$ as a substrate when synthesizing the molecules.

## The "Meaning" of J-Coupling

The splitting effect of J-coupling is sometimes explained in the literature as follows: in our statistical ensemble of spins, say a hydrogen and a carbon, each can be at an up or down state. Due to the external $\mathrm{B}_{0}$ magnetic field, the up state has a slight preference over the down state, so we might get the following configurations at thermal equilibrium, neglecting J-coupling which is much much smaller than the lab Zeeman interaction terms (percentages are exaggerated):
$\dagger 1 \downarrow 1 \downarrow$
26\%
25\%
25\%
24\%

Since the J-coupling term looks like $\hat{S}_{1 z} \hat{S}_{2 z}$ positive for parallel pairs, negative for anti-parallel pairs, we see that for some of the pairs the first spin will experience a slightly lower precession frequency and for some pairs a slightly higher, based on the state of the second spin:


This "explains" why we see two lines instead of one for spin 1 : half of the spins of the first nucleus $(26 \%+24 \%)$ have a precession frequency $v_{1}+\frac{J}{2}$, while the other half have a precession frequency $v_{1}-\frac{J}{2}$ and two lines appears.

This explanation is complete and utter nonsense because it relies on a classical statistical ensemble average. The splitting effect of J-coupling is observable in theory even with a single spin pair, i.e. a single molecule. It is a purely quantum mechanical manifestation which transfers polarization from, say, $S_{1 x}$ to $2 S_{1 y} S_{2 z}$ and back again to $S_{1 x}$. What is $2 S_{1 y} S_{22}$ ? One is temped to think of it as a system in which one spin is in the $S_{1 y}$ state while the other is in the $S_{2 z}$ state, but this is not quite "right". For example, if we were to measure a signal, we would find that (calculate this!):

$$
\begin{aligned}
& \operatorname{Tr}\left(\hat{M}_{x y} \rho\right) \\
& \quad=\operatorname{Tr}\left(\left(\gamma\left(S_{1 x}+i S_{1 y}\right) \otimes \gamma\left(S_{2 x}+i S_{2 y}\right)\right)\left(S_{1 y} \otimes S_{2 z}\right)\right) \\
& \quad=0
\end{aligned}
$$

So why can't we see a signal? What we classically think of as "one spin is in the $S_{1 y}$ state while the other is in the $S_{2 z}$ state" is really $\rho=S_{1 y}+S_{2 z}$ and not $\rho=S_{1 y} S_{2 z}$. The state $\rho=S_{1 y} S_{2 z}$ has no
classical analogue and, while some people have suggested pictorial representations, they all become too complicated or break down at one point. It is therefore to stick to a simpler view of the world: $S_{1 x}, S_{1 y}, S_{1 z}, S_{2 x}, S_{2 y}, S_{2 z}$ are all classically comprehensible quantities, but $S_{1 x} S_{2 x}, S_{1 x} S_{2 y}$, $S_{1 z} S_{2 x}, \ldots$ are all quantum mechanical entities with no classical analogue. We will therefore adopt the conservative but true approach stating that:

J-coupling is a quatum mechanical phenomenon without a classical analogue, which transfers magnetization from observable states (say, $S_{1 x}$ ) to non-observable states (say, $\mathrm{S}_{1 y} \mathrm{~S}_{2 z}$ ) and back again at a rate given by $\sim 1 / \mathrm{J}$.

## Decoupling

Sometimes J-coupling splittings are useful. For example, in the ethanol spectrum the number of splittings lets us "assign" multiplet groups to different parts of the molecule. However, splittings are often unwanted:

1. They crowd the spectrum.
2. They make you lose SNR.
3. In some pulse sequences the multiplet peaks can have different phases and interfere destructively with one another, leading to weird, often unintelligible spectral patterns.
We can make the splitting "go away" in heteronuclear systems using decoupling. To understand how, let's first look at the effect of $\pi$ pulses on the NMR spectrum.

## Spin Echoes ( $\pi$-Pulses) Do Not Refocus J-Coupling Evolution in Homonuclear Spin Systems.

It is very important to realize that J-coupling evolution continues to evolve during a train of $\pi$ pulses given on a homonuclear system, and is not refocused by them unless special circumstances occur (see below).

To see this, it's easier to switch to the Hamiltonian view. The effect of a $\pi$-pulse along, say, the x -axis can be viewed as the application of a propagator $U=e^{i I_{x} \pi}$. Furthermore, if we have weak J-coupling, our propagator during the system's evolution
$U(\tau)=e^{-i H t / \hbar}=e^{-i\left[\omega_{1} I_{z}+\omega_{2} S_{z}+2 \pi J I_{2} S_{z}\right]}$ - let's assume for simplicity we have two coupled spins. The propagator describing a $\tau-180-\tau$ block is:

$$
\begin{aligned}
U & =U(\tau) U_{180, x} U(\tau) \\
& =U_{1} U_{2} U_{J} U_{180, x}^{(1)} U_{180, x}^{(2)} U_{1} U_{2} U_{J}
\end{aligned}
$$

where $U_{i}$ is the Zeeman propagator and $U_{J}$ is the $J$ coupling propagator during $\tau$. The $\mathrm{U}_{\mathrm{i}}$ 's and $\mathrm{U}_{\mathrm{J}}$ all commute. Furthermore, $U_{180, x}^{(j)}=e^{i \pi I_{j, x}}$ commute among themselves because they act on different spins. All we have to figure out is what is the commutator of combinations such as $e^{i \pi I_{1 x}}$ and $\mathrm{U}_{1}$ or $U_{J}$. First, note that an $I_{z}$ product operator evolves into $-I_{z}$ under a rotation:

$$
U_{180, x}^{(1)} I_{1 z}\left(U_{180, x}^{(1)}\right)^{\dagger}=-I_{1 z}
$$

so:

$$
U_{180, x}^{(1)} I_{1 z}=-I_{1 z} U_{180, x}^{(1)} .
$$

We now prove this for any function of $\mathrm{I}_{12}$, i.e.:

$$
e^{i \pi I_{1 x}} f\left(I_{1 z}\right)=f\left(-I_{1 z}\right) e^{i \pi I_{1 x}}
$$

To see this is true, just expand $f\left(\mathrm{I}_{12}\right)$ in a Taylor series and start applying $e^{i \pi I_{1 x}}$ to the terms. Terms having an even power of $\mathrm{I}_{1 \mathrm{z}}$ will remain unaffected while those with an odd number will get a minus sign:

$$
\begin{aligned}
e^{i \pi I_{1 x}} f\left(I_{1 z}\right) & =e^{i \pi I_{1 x}} \sum_{n=0}^{\infty} \frac{f^{(n)}(0) I_{1 z}^{n}}{n!} \\
& =\sum_{n=0}^{\infty} \frac{f^{(n)}(0)\left(-I_{1 z}\right)^{n}}{n!} e^{i \pi I_{1 x}} \\
& =f\left(-I_{1 z}\right) e^{i \pi I_{1 x}}
\end{aligned}
$$

This means that

$$
e^{i \pi I_{1 x}} e^{i \alpha I_{I_{z}} \tau}=e^{-i \alpha I_{1_{z}} \tau} e^{i \pi I_{1 x}}
$$

for any constant $\alpha$. In other words,

$$
U_{180, x}^{(1)} U_{1}(\tau)=U_{1}(-\tau) U_{180, x}^{(1)} .
$$

The second spin is of course unaffected with the pulse acting on the first spin, and commutes with it:

$$
U_{180, x}^{(1)} U_{2}(\tau)=U_{2}(\tau) U_{180, x}^{(1)}
$$

$U_{\mathrm{J}}$, on the other hand, contains $\mathrm{I}_{1 \mathrm{z}}$ and its sign gets flipped (again, $\mathrm{I}_{2 z}$ behaves as a "scalar" as far as $U_{180, x}^{(1)}$ is concerned):

$$
U_{180, x}^{(1)} U_{J}(\tau)=U_{J}(-\tau) U_{180, x}^{(1)} .
$$

Similarly, for the second pulse:

$$
\begin{aligned}
& U_{180, x}^{(2)} U_{1}(\tau)=U_{1}(\tau) U_{180, x}^{(2)} \\
& U_{180, x}^{(2)} U_{2}(\tau)=U_{2}(-\tau) U_{180, x}^{(2)} \\
& U_{180, x}^{(2)} U_{J}(\tau)=U_{J}(-\tau) U_{180, x}^{(2)}
\end{aligned}
$$

Using these identities, we can simplify the full propagator as follows:

$$
\begin{aligned}
U= & U_{1}(\tau) U_{2}(\tau) U_{J}(\tau) \\
& \cdot U_{180, x}^{(1)} U_{180, x}^{(2)} U_{1}(\tau) U_{2}(\tau) U_{J}(\tau) \\
= & U_{180, x}^{(1)} U_{180, x}^{(2)} U_{J}(2 \tau)
\end{aligned}
$$

What happened is that the $U_{1}(\tau)$ and $U_{2}(\tau)$ on the left got converted into $U_{i}(-\tau)$ by the two operators $U_{180, x}^{(1)} U_{180, x}^{(2)}$ when commuting with them (and then canceled out with $\mathrm{U}_{1}(\tau)$ and $\mathrm{U}_{2}(\tau)$ on the right of $\left.U_{180, x}^{(1)} U_{180, x}^{(2)}\right)$, while $\mathrm{U}_{\mathrm{J}}(\tau)$ remained unaffected, since each operator $U_{180, x}^{(j)}$ flipped its sign once, and both left it unchanged. We say that the 180 s refocused the chemical shift evolution but did not refocus the homonuclear J-coupling evolution.

## Spin Echoes ( $\pi$-Pulses) Do Refocus J-Coupling Evolution in Heteronuclear Spin Systems.

What happens with heteronuclear coupling? Here we have independent control of the transmitters for both the first and second nuclei, so we can choose to pulse on the first, the second or both; in
other words, we can have any of these combinations:

$$
\begin{aligned}
& U_{180, x}^{(1)} \\
& U_{180, x}^{(2)} \\
& U_{180, x}^{(1)} U_{180, x}^{(2)}
\end{aligned}
$$

Each combination will have a different effect. For example: The first will refocus the J-coupling and chemical shift evolution of the $1^{\text {st }}$ spin but leave the chemical shift evolution of the $2^{\text {nd }}$ spin intact. Our conclusions, by the way, are not true for strong ( $\mathrm{J} \gg \Delta \omega$ ) homonuclear J-coupling, since we can't decompose the propagator into commuting, independet parts $\left(\mathrm{U}_{1} \mathrm{U}_{2} \mathrm{U}_{\mathrm{J}}\right)$ like we did for the weak coupling case.

## Decoupling

In heteronuclear systems is possible to remove splitting in nucleus A by transmitting on nucleus B. To see why this would happen, think about the following sequence for measuring the spectrum of a hydrogen nucleus while giving $180^{\circ}$ pulses to the ${ }^{13} \mathrm{C}$ nucleus:


Each of the $180^{\circ}$ pulses on the carbon will refocus the chemical shift evolution of the ${ }^{13} \mathrm{C}$ nuclei, while also refocusing the heteronuclear J-coupling without affecting the chemical shift evolution of the hydrogen nucleus.

One can think of a limiting process in which the 180 pulses become closely spaced, in which case the irradiation becomes continuous:


This is how most decoupling schemes are actually implemented. They have funny names like WALTZ-16. The exact details are outside the scope of this course, but the concept is straightforward as we've just discussed.

## INEPT: Coherent Polarization Transfer

The coupling between spins can be used to transfer magnetization or "polarization" between them coherently. The most famous experiment or "module" for doing so is called INEPT: INsensitive nuclei Enhanced by Polarization Transfer. The idea here is that transfering polarization between a nucleus with large $\gamma$ (that has "a lot" of polarization) to one with small $\gamma$ (which usually has less polarization) allows one to enhance the SNR of the experiment considerably.

The best analogy is that of coupled pendula:


If we take out one pendulum from equilibrium and let it swing then, by a miracle, its amplitude will decay while the amplitude of the second pendulum will increase. This will then reverse, transferring motion back to pendulum 1, ad infinitum or until frictional losses kick in (this behavior happens if k is weak enough). The spring plays the role of J-coupling and determines the time to transfer. The mechanical analogy is not perfect but it should give you the rough idea.

## INEPT

The basic INEPT pulse sequence is shown below for a simple 2-spin hydrogen-carbon system:


We're going to neglect the initial carbon polarization and focus on what happens to the hydrogen polarization, because that's the interesting part, so:

$$
\rho_{A}=b_{H} H_{z} .
$$

Following excitation (B):

$$
\rho_{B}=b_{H} H_{y}
$$

If we now let our system evolve we must take into account both the chemical shift and J-coupling evolution (assumed weak), which we can play out in any order we choose:

$$
\begin{aligned}
\rho_{B} \xrightarrow{\text { chem. shift }} & b_{H}\left[H_{y} \cos \left(\omega_{H} t\right)+H_{x} \sin \left(\omega_{H} t\right)\right] \\
\xrightarrow{\text { J-coupling }} & b_{H} H_{y} \cos (\pi J t) \cos \left(\omega_{H} t\right) \\
& -b_{H} 2 H_{x} C_{z} \sin (\pi J t) \cos \left(\omega_{H} t\right) \\
& +b_{H} H_{x} \sin \left(\omega_{H} t\right) \cos (\pi J t) \\
& +b_{H} 2 H_{y} C_{z} \sin \left(\omega_{H} t\right) \sin (\pi J t) \\
& =\rho_{C}
\end{aligned}
$$

If we now select $\tau=1 / 2 J$, then $\pi J \tau=\pi / 2$ and

$$
\begin{aligned}
\rho_{C} & =-b_{H} 2 H_{x} C_{z} \cos \left(\omega_{H} t\right) \\
& +b_{H} 2 H_{y} C_{z} \sin \left(\omega_{H} t\right)
\end{aligned}
$$

The last pulse will then bring the system into the state:

$$
\begin{gathered}
\rho_{D}=b_{H} 2 H_{z} C_{x} \cos \left(\omega_{H} t\right) \\
\quad-b_{H} 2 H_{y} C_{x} \sin \left(\omega_{H} t\right)
\end{gathered}
$$

1. The $\mathrm{H}_{\mathrm{z}} \mathrm{C}_{\mathrm{x}}$ term will then evolve into $\mathrm{C}_{\mathrm{y}}$ (and back into $\mathrm{H}_{\mathrm{z}} \mathrm{C}_{\mathrm{x}}$ ), meaning into a carbon signal. We have thus transferred the excited hydrogen signal to the carbon nucleus.
2. Here's the important part: the size of the transferred term is proportional to $b_{H}$, the Boltzmann factor of the hydrogen nucleus, and not $\mathrm{b}_{\mathrm{C}}$. This is big, because $b_{H} / b_{C}=\gamma_{H} / \gamma_{C} \approx 4$, meaning we've amplified the signal four-fold!
3. The $\mathrm{H}_{\mathrm{y}} \mathrm{C}_{\mathrm{x}}$ term is "invisible" and is never seen. To convince yourself of that, recall that under free evolution both $\mathrm{H}_{y}$ and $\mathrm{C}_{\mathrm{x}}$ rotate: $\mathrm{H}_{\mathrm{y}}$ evolves into a combination of $\mathrm{H}_{x}$ and $\mathrm{H}_{y}$, and $\mathrm{C}_{\mathrm{x}}$ evolves into $\mathrm{C}_{\mathrm{x}}$ and $\mathrm{C}_{\mathrm{y}}$. Now calculate $\operatorname{tr}\left(M_{x y}\left(H_{x} \otimes C_{x}\right)\right), \operatorname{tr}\left(M_{x y}\left(H_{x} \otimes C_{y}\right)\right)$, $\operatorname{tr}\left(M_{x y}\left(H_{y} \otimes C_{x}\right)\right), \operatorname{tr}\left(M_{x y}\left(H_{y} \otimes C_{y}\right)\right)$ and see they are all zero! Thus they do not contribute to the acquired signal.
4. We've set $\tau=1 / 2 \mathrm{~J}$. This means we need to know J beforehand. In reality J is guessed and then $\tau$ is fine-tuned at the spectrometer to maximize the signal enhancement.

The INEPT sequence can be further improved by removing the chemical shift evolution between the two $90^{\circ}$ pulses using two $\pi$-pulses:


The calculation becomes even easier now. As before,

$$
\begin{aligned}
\rho_{A} & =b_{H} H_{z} . \\
\rho_{B} & =b_{H} H_{y} .
\end{aligned}
$$

Now upon evolution from B to E we only need to take into account the J-coupling evolution:

$$
\rho_{C}=b_{H} H_{y} \cos (\pi J \tau)-b_{H} 2 H_{x} C_{z} \sin (\pi J \tau) .
$$

If we select $\tau=1 / 2 J$ as before,

$$
\rho_{C}=-b_{H} 2 H_{x} C_{z} \sin (\pi J \tau),
$$

which after the final pulse turns into:

$$
\rho_{D}=b_{H} 2 H_{z} C_{x} .
$$

We can turn $\rho_{D}=b_{H} 2 H_{z} C_{x}$ back into $\mathrm{b}_{\mathrm{H}} \mathrm{C}_{y}$ if we let J-coupling run its course while canceling out the chemical shift evolution:


Why bother with this refocused version? Well, you can show that each will give rise to a slightly different FID expression, up to a constant overall phase and $\mathrm{T}_{2}$ relaxation which we omit:

$$
\begin{aligned}
b_{H} 2 H_{z} C_{x} & \rightarrow b_{H} \sin (\pi J t) e^{-i \omega_{c} t} \\
& \propto \frac{1}{2} b_{H}\left[e^{-i\left(\omega_{C}-\pi J\right) t}-e^{-i\left(\omega_{C}+\pi J\right) t}\right] \\
b_{H} C_{y} \rightarrow b_{H} & \cos (\pi J t) e^{-i \omega_{C} t} \\
& \propto \frac{i}{2} b_{H}\left[e^{-i\left(\omega_{C}-\pi J\right) t}+e^{-i\left(\omega_{C}+\pi J\right) t}\right]
\end{aligned}
$$

You can show this explicitly by calculating $\operatorname{Tr}\left(M_{x y} U(t) \rho U^{\dagger}(t)\right)$ (do it as an exercise!). Thus, the resulting split peaks acquired on the carbon nucleus will look slightly different for both cases: for the first the two peaks will point in opposite direction, i.e. will yield an anti-phase doublet, while for the second the two peaks will be in-phase:

Appearance of different product operator terms in the spectrum, up to a constant overall phase.


The second option is not very desirable because the two peaks can be wide and very close and might end up canceling each other out, leading to a loss of signal. There, in-phase terms are always more desirable.

The following table summarizes the appearance of the various observable states in a weakly-coupled two-spin AX system (solid blue: real; solid red: imaginary):



